IS URBAN HISTORY? WHAT GOOD

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What good is urban history? Five sentences sum up my message:

- In principle, urban historians have the opportunity to be our most with small-scale social life important interpreters of the ways that global social processes articulate
- In practice, they have turned unseeing eyes to the challenge
- They need not remain forever blind
- Although urban historians exhort a great deal, their work does investigation. including dissertation-size chunks—will move them to new forms of not respond to exhortation; only concrete examples—preferably
- No use talking about it; someone will have to do it!

my personal agenda should take priority over others that will have similar effects on the discipline. chiefly by reference to fields of urban history in which I have been working myself.2 No one should therefore draw the conclusion that distracting errors of act and judgment, I will illustrate my sermon problems; second, by adopting a reflective historicism. To minimize huddling, cramped, in the shelter of urban history's conventional steps: first; by boldly addressing history's central questions rather than toward a central position in history as a whole by taking two large and without viruperation. I will argue that urban historians can move a way that will help resuscitate the dormant body of urban history), these five sentences. I hope to do so vividly, anabiotically (that is, in My business here is to restate, elaborate, illustrate, qualify, and defend

> connect everyday experience to the large structures of historical torians face? "The role of social history," Olivier Zunz declares, "is to alterations in the character of the dynamics of populations, social analysis and major changes of the past. We believe that history should surprised to learn that I agree with it. I only want to add that urban that conception of social history's mission together, you will not be hierarchies, and routine social life."3 Since Zunz and I thrashed out illuminate the complex interplay between large structural changes and history plays a starring role in the drama. First, the move toward centrality. What opportunity do urban his-

means of addressing central historical questions such as To treat urban history as quintessential social history gives us the

- How, if at all, do the ways that ordinary people cope with daily life impinge on power and policy at a national or international scale?
- What actual difference does it make to the quality of life what sort of political system people live under?
- Do rising technological and organizational complexity rob life of its spontaneity and wonder?
- How and why did capitalism come to be the dominant form of economic organization in western countries?
- How and why did relatively large, centralized, and unified national states displace the city-states, city-empires, dynastic empires, and federations that once predominated among the world's states?

history, for example, urban historians can reasonably ask: history to address grand problems at a national scale. In American Those who do not care for such suprahistorical questions can use urban

- American struggles of the 1760s and 1770s? To what extent did widespread demands for popular sovereignty inform
- Did the Civil War pit fundamentally incompatible ways of life against each other?
- Did a new alliance of big capital and the state crush working-class describe as American exceptionalism? radicalism in the 1870s and 1880s, thus producing what analysts now
- To what degree, and why, did the range of trades open to urban blacks narrow after 1890?

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 Did militant feminism and other political mobilizations of women pendence of each other? reinforce each other, contradict each other, or proceed in relative inde-

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boldest edge of social history. a cautious, constricted game. Urban historians should move to the are not articulating such grand questions forcefully; they are playing nonspecialists have taken notice; c) Most of today's urban historians within the purview of urbanistory; b) In the past, when urban crucial things to understand are: a) Such pressing questions fall clearly Themstrom, or Gary Nash have asked such questions effectively, historians such as Arthur Schlesinger, Sr., Oscar Handlin, Stephan another. Let me return to the study of variation later. For now the also know more—or should know more—than other historians about of the interaction between large social processes and routines of local the bases of variation in these regards from one time and place to In all these cases, and many more, cities offer privileged sites for study life. Urban historians not only have superior access to the sites, but

and farmsteads, yet no one will understand it without seeing its tight tiplication of small producing units) deals extensively with villages connections with urban markets and merchants. protoindustrialization (expansion of manufacturing through the mullive in the countryside. Behold a salient example: The study of major sites and junctions for them even when and where most people history inevitably deals with such processes because cities constitute lives of individuals, households, shops, and neighborhoods. Urban proletarianization and capital accumulation, state formation and transideas, epidemics, commercialization, population growth or decline, social processes—not only urbanization, but also trade, circulation of Much of urban history deals directly with connections between global the resuscitation of their neighbors that overlap with social history. formation, growth or decline of world religions, and so on—and the forms of urban history, which I frequently enjoy and use, then turn to adjacent to social history rather than inside it. Let me salute those history. Histories of architecture, urban form, urban government, or writings about cities contribute significantly to urban history but stand Not all of urban history, as actually practiced, falls into social

> changes in the quality of social life. shifts in economic organization, alterations of urban geography, and up the challenge set by Lewis Mumford: to trace relations among large superb history of Detroit between 1880 and 1920 not only to learn ethnicity in cities treat, at least implicitly, influences that operate on a a site buffeted by the great winds of economic and demographic cesses. Every study of an urban real estate market touches the changor (more rarely) the impact of small-scale social life on global proexplicitly with the impact of global processes on small-scale social life factory-based industry reshaped American social life as a whole.5 about that beleaguered city but also to understand how the growth of regional, national, or even international scale. We read Olivier Zunz's While disagreeing sharply with many of Mumford's ideas, Zunz takes transformation. All analyses of migration, race, religion, class, and manage space. Each examination of work and family in cities enters ing ways in which capitalists and political authorities interact to Much of urban history's agenda, furthermore, deals implicitly or

relative emphasis on royal power and industrial production. Whatever of urban life, including the degree to which it was tolerable at all. close connection between internal lives of cities and particular conthat set him off as a great urban historian: first, his insistence on the urban history.6 One need not share Mumford's sometimes arrogant and accounts of life on the small scale and the large. fashioning theories that cross city boundaries to provide coherent Mumford's Baroque City differed from his Coketown chiefly in their power and of commercial-productive activity stamped the character snobbish sentimentalist who neglected the details and realities of we think of Mumford's analysis, it demonstrates the feasibility of his fashioning of a theory in which the relative concentrations of state figurations of power and production within which they lay; second inconsistent tastes, however, to recognize the power of two features Dare I praise Mumford? Eric Monkkonen scores Mumford as a

collapsed because its practitioners failed to develop a more effective significance of its main findings, and turned away from the enterprise way of summarizing its results, avoided reflection on the more general rather than countering the widespread criticism it generated. 8 I remem-Monkkonen also argues that the short-lived "new urban history"

as collective biography. I recognize that what looked like a wave of bility and as the teacher of many students who undertook urban history general causes. factors played their part. But Monkkonen misses the decline's more have almost disappeared from American urban history. Monkkonen's pean historical demography, massive urban collective biographies beach only a decade later. Although they continue to thrive in Eurothe future in the late 1960s began to resemble spent foam on a littered series in which appeared major studies concerning urban social mober the checkered history well, as Stephan Thernstrom's coeditor of a

another study of Irish and Italian workers' social mobility—or lack of for keys to social change, and publishers lost interest in printing yet had left their fathers far behind, urban historians searched elsewhere with presumably hard evidence. When it began to appear that few sons arrived first, in the very idea of confronting American mobility myths city-to-city and year-to-year variation and because its greatest impact returns, both because no one figured out how to get a firm grip on the Thernstromian program suffered dramatically from diminishing aged, and elitist interpretations of popular experience. In its own terms, systematic history from below, gave way to more cynical, discourpopulisms of the 1960s, which had borne with them great hopes for it—in yet another middle-sized city. mer social-scientific stalwarts as Lawrence Stone. The various to a wider disillusionment with formalization broadcast by such for-The turn from urban history as collective biography corresponded

a series of misconceptions: that occupations form neat hierarchies, that and intergenerational movement from occupation to occupation rest five years ago) that the conventional study of social mobility rests on they determine their holders' life chances, that explanations of lifetime mobility study's passing. I now believe (but, alas, did not see twentyto replace the local mobility study. But we should not regret the that will be comparable to other dissertation-size chunks, has appeared similar method, nicely fitted to a dissertation-size chunk of research are applying it effectively to the study of conflicts." It is a pity that no tional history, and political mobilization. A number of investigators important, for example, in studies of immigration, ethnicity, occupa-Urban collective biography has not entirely disappeared; it remains

> fundamental features of social inequality: chiefly on individual characteristics, and so on.12 It neglects three

- 1. Few inequalities actually compound into uniform hierarchies; viewed over more than a single pair, most varieties of inequality are fragmentary and inconsistent. A web provides an apter metaphor than a ladder.
- 2. Inequalities between any two social units vary significantly from one setting to another as a function of resources available to each party in that setting and relations with third parties activated by presence in that
- 3. Any particular actor's power, influence, and control over resources neglecting variability in the parties' relative power, wealth, or prestige. territory or long time period higher-ranking, but only at the risk of this difficulty by calling the party that exercised superiority in a larger but weak elsewhere; a theorist of inequality might try to contend with powerful, rich, or prestigious vis \hat{a} vis another on his home territory, generally decline with time and distance. One party is often very

of the fundamental problem: Treatment of each city as a sample case national, or international flows of capital, labor, and political power. generating or sustaining inequality in any particular city and regional, from a national frame blinds analysts to relations between processes power of political authorities. That recognition leads to identification entirely neglected the exercise of power-power of ethnic groups and More than anything else, prevailing conceptions of social mobility Urban history connects with general history through just such interactions.

urban ruling classes overlapped have constituted one of the most extent that states acted to protect trade and capital and to the extent and state authorities formed partly distinct categories, they sometimes common forms of government at the larger scale. Where urban elites States have always expanded through the amassing of armed force. At have gained privileged access to the trade and capital of large regions. case in point. Everywhere that cities have grown, their ruling classes warred and always bargained; they could find common ground to the historically, city-states and city-empires in which state authorities and times the distinction between cities and states has virtually dissolved; The study of state formation provides an unexpected but compelling

southern England than elsewhere. freer to act in the commercial belt from northern Italy to Flanders and over the last millennium, for example, merchants have generally been ted tremendously from region to region and time to time. In Europe strength of coercion-wielders and capital-wielders, however, has varthat merchants and capitalists helped finance armed force. The relative

significant autonomy, much less political power; urban form reflected the dominance of a landed nobility.14 repeatedly strangled royal power, but kept merchants from gaining any other cities.¹³ In Poland, on the other hand, great nobles not only revolution were indistinguishable from the politics of Amsterdam and Republic of the eighteenth century, for instance, both warmaking and politics varied accordingly. In the quintessentially capitalist Dutch which commerce left its imprint everywhere. State and municipal from relatively effective state financing and in the form of cities within whose bourgeois held significant power and whose armies benefited That variation wrote itself out in both directions: in the form of states

provides a splendid arena for renewal of historicism. two-sided analyses, if only historians will remove their blinders. It also American urban history offers plenty of opportunities for similar

places, whole chains of causation, unique yet profoundly regular place affects the possibility of social action in subsequent times and quences by showing how the embedding of social action in time and subsequent action. It goes at least a step beyond Robert Merton's old, ricism examines how the residues of action at a given time constrain observation or external reconstruction. 15 Among the dwindling number important analysis of purposive social action's unanticipated conseincreased credence to thoughtful historicism. That sociological histohowever, sociologists and other social scientists have recently given who still believe that social life follows knowable, orderly principles, life as discourse, and away from belief in reliable knowledge based on last decade has no doubt been the drive toward the treatment of social In the social sciences and history, the most prominent current of the

comes, thus raising doubts about all rational-action accounts of social sons why purposive action so regularly produces unexpected outbehavior. But he left untouched the other half: how purposive social Merton accomplished only half the job: He enumerated good rea-

> and d) both culture and social relations change systematically and lay of previously established social relations that it alters incrementally, standings, from culture, c) interaction occurs within constraining webs consists of incessant errors, constantly corrected, b) people draw their answer, it seems to me, lies in four principles: a) all social interaction down durable social structure. That is why historicism matters. correction mechanisms from historically accumulated shared underaction nonetheless produces systematic, durable social structure. The

social and spatial structures."19 choosing, but in the context of already existing, directly encountered a phrase faintly echoing Karl Marx, Pred has preached that "[p]eople strained subsequent growth of the North American urban system. 18 In connections among cities in eighteenth-century North America condo not produce history and places under conditions of their own combe has long since provided an important example of that sort of some industries well into the era of mass production, Arthur Stinchhistoricizing analysis.17 Allan Pred has similarly shown how existing In his discussion of the way that craft organizations persisted within

condition. A historicist alternative could, in principle, fly as bold and sometimes cover large spans of time and space, but in those cases sweeping as Mumford's analysis; so far, however, in Mumford's regardless of the paths by which they have arrived at their present economic power largely determines the activity and form of cities, effect of market forces, or prevailing national attitudes, or other causes with Mumford's stands high on the agenda of an anabiotic urban always lack synthetic power. A truly historicist synthesis to compete domain the chief large-scale historicist enterprises consist of archeo-Mumford's City in History, the prevailing conjunction of political and great swaths of economic history. A fine example of antihistoricist historicist thought often appears in historical analysis, for example in explanations of social life, including the life of cities, as the immediate logical, town-planning, and architectural compendia. Such studies thinking in urban history comes, indeed, from Lewis Mumford. In that act instantaneously, generally, and heedless of prior events. Anti-Such reasoning contrasts sharply with unhistoricist or antihistoricist

postulates: a) a person can only be in one place at a time, and b) each Allan Pred grounds his sophisticated analysis in two elementary

allow to shape their actions. space whose enduring social arrangements people take for granted and structuration: historical formation of situations delimited by time and combination of location and time, and its residues, affects the possiproposes taking seriously the process that Anthony Giddens calls inquity, social analysis, and the (re)formulation of social theory."20 He book, Pred calls for "merging of historical study, human-geographical bilities for action at subsequent locations and times. In a provocative

and when they happen strongly affects how they happen. people pursue more or less deliberately. It entails following processes-It entails tracing ways the constraints shape those local activities local social relations and those that cut boldly across time and space. creation of the setting's constraining features through interaction of cities, entails a difficult set of tasks. It entails accounting for the Examining the creation and influence of such situations, including cal power, patterns of policing, and much more to take for granted. with their recognizable street plans, distributions of stores, transport job-tinding, courting, spending money, and more—in which where lines, labeled and segregated neighborhoods, configurations of politi-Cities constitute prime examples of such constraining situations,

ostensibly self-contained urban processes. ated points within interurban processes, such as urbanization and saving for a rainy day; societywide mentalities, the state, prevailing migration, or they take city limits as boundaries for the analysis of discourse, social control, class, gender, and the market (all, in common processes, causes, and effects. Either they take cities as undifferentitime-space particularism of local history and grand timeless, spaceless historians, who should know better, ordinarily oscillate between the tially the same way from one time-space setting to the next. Even urban formance, child care, homicide, and saving for a rainy day in essenanalyses, timeless, spaceless entities) caused social mobility, job peroperation of social mobility, job performance, child care, homicide, or sense. It proceeds as if concrete time and space hardly affected the science, and some historical analysis, counters this brand of common Common sense, you say? Yes, profoundly. But much of social

with the theory and research that Harrison White, Ronald Burt, Barry The historicist line of thought gains power from its easy junction

> of individuals compound into networks whose form varies signifials nor societies, but social relations.²¹ Social relations between pairs among the same actors. connections among mobile engineers or surgeons. The analysis of cantly, for example from the long chains of relationship created by configuration of social relations on succeeding sets of social relations network structure makes it easier to see constraints placed by a given migration between a Jamaican village and Toronto to hierarchical that the fundamental units of social organization are neither individu-Wellman, and others call "structural sociology"; it begins with the idea

specification of counterfactuals—possible outcomes that did not occur are path-dependent. Their explanation, furthermore, always requires the alternatives will ever come into being. In short, social processes native structures, and its very existence affects the probabilities that existing structure takes the place of many theoretically possible alterthat goes beyond simple temporal and spatial autocorrelation; every cate. Such processes produce connectedness within time and space circumscribes the other people with whom they can easily communiopment. Once people adopt a certain national language, that language urban structure, that structure defines opportunities for further develreproduce themselves. Once developers have laid down a certain those ties affect her subsequent recruitment of labor, and may well Once an employer has established ties with a particular source of labor, sent social relations, and consequently constrain their products as well their residues—material, ideological, and otherwise—constrain prein these times and places. That is, to repeat, why history matters. The linking idea is simple and powerful: Past social relations and

to build up the military capacities of friendly Third World states shape ers to become capitalists, artisans, or peasants. Efforts of great powers workers strongly affects opportunities of the next generation of workoccupational guilds, political communities, ethnic groups, parties, and parties in the next round of struggle. Intergroup conflicts over jobs, powerholders and their challengers limits possibilities of action for all Creation of collective-action repertoires through struggles between the likelihoods that national armed forces will take over those states land, or political power create new social actors: active social classes, Consider some examples. Proletarianization of one generation of

how they occur. They therefore fall into history's domain. and place matter fundamentally; when and where they occur affects accumulation within families and ethnic groups, while others indireasons because some forms of migration build means of capital the subsequent welfare of migrants and their descendants, among other vidualize whatever accumulation occurs. In all these processes, time and outcome of conflict. The social organization of migration affects so on. The presence of those organized actors then alters the character

culty by portraying immigration as a sort of footrace in which the win, and inbuilt cunning of different runners determine the order of previous training, current fitness, familiarity with the course, will to constructing tables comparing people who have lived in a city varying have wasted trying to deduce or verify or falsify "assimilation" by of urban industrial organization. How many days urban historians adapted, with varying success depending on their origins, to demands of American life in which immigrants painfully shed old ways and their arrival at a common finish line. lengths of time! Ideas of human capital have compounded the diffianalysts of immigration saw it chiefly as an abrasive confrontation crete, swirling contingency of social life. For many years, American between preindustrial cultures and the hard, rational, prosperous ways models of adaptation and assimilation uneasily encompass the conclearly in studies of immigration to American cities, where abstract straightforward lesson difficult to learn. The difficulty shows up Social historians and urban historians have found this ostensibly

rethinking, as John Bodnar sums it up, Fortunately, however, the situation is changing. In recent years'

outcome is anything but predictable, 22 ing, or their culture, but active participants in an historical drama whose victims; they are not simply manipulated by leaders, their class standeconomic system. Ordinary individuals are rescued from the status of marketplace and the diverse responses of human beings themselves. stimulated almost incessantly by the changing imperatives of the ture not only their own life path but even somewhat the all embracing and ideological orientation, becomes a variable itself helping to strucwhat emerges is a clearer portrait of the process of social change Their response, conditioned by their social situation, familial status,

> stead of timeless recurrence of social mobility, we have contingent durable but likewise historically contingent consequences. history of immigration has also restored history to immigration; in-Restoring agency to ordinary immigrants, the new sociology and social actions of individuals and groups set firmly in time and space, with

categories of people or standard sequences of multiple lives. It lies urban historians ordinarily look for it: in uniform behavior of large life conforms to a deep order. That order does not lie, however, where varied, and unpredictable. On the contrary, I am claiming that human descriptive particularism on the ground that human life is complicated, instead in the combinatorics of multiple causes. Do not get me wrong. I am not for a moment advocating a return to

migration is, of course, the arrangement in which social ties persist outside of it. Instead of maximizing, they have satisficed. Chain bipolar system rather than continuing their search for opportunities separated locations, then concentrated their migration within that again people have established regular migrations between two widely solidarity illustrate what I have in mind. Contrary to the logics of destination of migration, with people at the destination sending back between people at a particular origin of migration and a particular minimizing distances and multiplying opportunities, over and over small villages and urban neighborhoods that lie thousands of miles tastic but perfectly familiar tales to tell about sustained flows between helping them make the move; every student of immigration has faninformation about new opportunities, recruiting new immigrants, and Relations among circular migration, chain migration, and ethnic

of the destinations. Those conditions are regular and comprehensible, conditions, a few members of such circuits prolong their stays at one consumer durables, or social solidarities back home. Under some repatriate a significant share of their income, investing it in land, activities. In such circuits, earners typically restrict their expenses and but periodically move away to earn money by peddling, digging, harvesting, building, working in factories, or other easily transportable lar migration, in circuits whose members stay tied to the same base, here is another worthy challenge for urban historians but we do not yet have a satisfactory general model of their operation: Migration chains often-I think almost always-originate in circu-

of origin and destination, and its competitive position within local markets for jobs, housing, power, and space. current organization of its migration system, the relative attractiveness ethnic community in a big city therefore results from the history and of other groups defined by origin, and b) success in those competitions jobs, housing, political power, and neighborhood space with members a) emigrants and their descendants find themselves competing for depends on mutual aid within each population. The character of an return, depend only distantly on their original intentions and qualifiwith their earnings and learnings. Which ones stay, and which ones tions. Ethnic identities and institutions take on greater salience where large net movements between remarkably distant origins and destinanities at origin and destination. Many chains, nevertheless, produce cations. Instead, it depends closely on broad comparisons of opportumany workers spend some time at the destination only to return home Chains often continue to work in conjunction with circular migration: Frequently, but not always, such exiles originate migration chains

produce the diverse histories of particular migration chains and, for come, availability of opportunities for work, housing, protection, and coherent urban processes that historicist thinking illuminates. know the combinatorics well. I claim that we are dealing with highly that matter, of circuits that never produce chains. I do not claim we in particular times and places. The combinatorics of those choices sociability at destinations, and so on. Those choices themselves follow settling of exiles, maintenance of communication, remittance of inorder consists not in the repetition of the same sequence over and over particular on the one hand, yet exceptionally orderly on the other. The ence. Here, then, is my claim: Chain migration is contingent and historicist logic, depending heavily on what has happened previously in the recurrence of critical choices: stops on a migration circuit, (although my simplified account may have fostered that illusion) but I have simply called attention to a standard feature of urban experi-Urban historians should find almost everything I have said obvious;

one distant place to another, it helps make sense of connections between migration and durable forms of inequality, including those forms people organize as ethnicity—as structured differences accord-A historicist view does not merely clarify how people move from

mass migration surely contributed powerfully to the creation of duraing to imputed national or racial origin. The social organization of certainly qualifies. The analysis of migration, however, simply illuspath-dependent processes. places, what will happen after that, and so on through long strings of time and place constrains what will happen next there and in adjacent tion of historical contingency—the way that social action in a given full advantage of. Cities constitute our best laboratories for investigatrates the great opportunity urban history faces, and has not so far taken well as of differential cohesion within ethnic and racial categories, bailiwick, the explanation of durable ethnic and racial inequality, as If urban historians want subjects to bring them fame outside their own ble inequalities in American cities and the United States as a whole.

epistemological and ontological debates that now range across history am claiming that urban historians could participate more centrally in sociology, or philosophy, perhaps, but not urban history. Certainly I am recruiting players for a strange game on an alien field-economics, "contingency," and similarly abstract notions, readers may fear that I stable democracy-all clearly subjects within the purview of urban causes and effects of durable inequality, of violent xenophobia, of and the social sciences. But I also claim that urban historians who terre historians as well as from general readers. For to unravel the follow my advice will command more attention from fellow terre-àhistory-is to enlighten and even to improve the world at large. With all these references to "path-dependence," "historicism,"

more, it is that for all their love of hectoring each other, they respond help answer questions they regard as worth addressing. They look for almost exclusively to concrete, imitable examples of good work that lars and fear grand schemes. If one thing is clear about them, furtherdemanding agenda. Urban historians, for the most part, love particuno one to jettison current urban research and rush out to follow my urban history demands so much devotion that most practitioners have nicely to the requirements of doctoral dissertations, so much the better; practical demonstrations of feasibility and profitability of new apthose approaches. If practical examples come in modules that conform proaches rather than the cleverness of appeals that people make for Yet my jeremiad is almost by definition a waste of time. I expect

its revisions. only one sustained monograph in them, their doctoral dissertation and

of an urban history that attacks big questions, links large processes with local life, and takes the effect of time and place seriously. our sleeves, take risks, show results, and demonstrate the superiority practice of urban history, some of us will have to go out and roll up Clearly for the program I have sketched to have any impact on the not simply stodgy, but properly skeptical of unsubstantiated promises. Stephan Themstrom's technology thirty years ago proves that they are The rapidity and enthusiasm with which urban historians adopted

sleepy smoke so long has risen. excessively loud blunderbuss reach some dried and yearning wood, perhaps we can again see great bonfires in urban history, from whence canyon If sparks from my clumsily loaded, awkwardly fired, and rebound to precisely the spots where teachers and students are searchshells I have propelled against the rocks of this vast canyon would ing for under, in hope of lighting fires that people will see outside the The most I can hope for now is a ricochet: I wish that some of the

NOTES

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