How (and What) Are Historians Doing?

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Why We Study History

strains what happens at a given point in time—historical knowledge dependent-to the extent that the prior sequence of events conendure or take a long time to unfold, historical knowledge becomes able reasons for studying history, but they are not essential. The and aesthetic value of knowing that we now live in only one of many trate on the present? Let us leave aside the moral, political, psychic, national migration, military rule, and any number of other crucial stand warmaking, capital accumulation, population growth, interanalysis of large-scale social change; anyone who wants to underof sequences becomes essential. Historical verification is vital in any increasingly valuable. To the degree that social processes are paththat the social structures and processes we wish to understand human affairs rests on events that are already history. To the extent crucial answer is simple and compelling: All reliable knowledge of possible worlds and of having some sense of roots; those are valupresent unique and the future unknowable? If so, why not concenseriously. History provides a key to the present and a guide to the phenomena of the contemporary world had better take history Why should anyone care what happened in the past? Isn't the

History as a phenomenon and history as a specialized inquiry, however, are two quite different things. History as the set of connections among human activities in time and space certainly concerns specialized historians, but it also plays a significant part in the analyses by geographers, economists, anthropologists, philosophers, and many other skilled observers of human affairs. What sets off the study of history as a specialized discipline?

subject. We might best think of history in general as a federation of overlapping disciplines. icantly from country to country, period to period, and subject to questions, relevant evidence, and legitimated practices vary signifover the West as a whole, has more trouble qualifying; salient modern African history) clearly pass these tests. History in general, European diplomatic history, American intellectual history, and countries, the subdisciplines of professional history (e.g., Eastern series, and incentives to do good work. As pursued in Western structure consisting of associations, meetings, journals, publication academic base, most disciplines add a fifth element: an institutional and (4) an ensemble of legitimated practices that extract acceptable answers from the evidence. To the extent that they establish an body of evidence they consider relevant to answering the questions; tions the practitioners regard as important and answerable; (3) a ments: (1) a set of self-identified practitioners; (2) a series of ques-Any intellectual discipline worth mentioning unites four ele-

Throughout the West, the study of history occupies some common ground. As practiced in Western countries today, history stands out from other organized inquiries by virtue of:

- Its insistence on time and place as fundamental principles of variation—the prevailing idea being that social processes in, say, contemporary China occur differently than related social processes in medieval Europe
- The corresponding time-place subdivision of its practitioners, with
 most historians concentrating on one part of the world, however
 large, during one historical period, however long
- 3. The anchoring of most of its dominant questions in national politics, with great attention accorded new answers to old questions or new challenges to old answers, and consequent variation in the major questions being asked by historians of different countries

- 4. The vagueness of its distinction between professionals and amateurs, with the skilled synthesizer and storyteller who attracts a large public often commanding respect from the specialists
- 5. Its heavy reliance on documentary evidence and its consequent concentration on the literate world
- 6. Its emphasis on practices that involve (a) identification of crucial actors, (b) imputation of attitudes and motives to those actors, (c) validation of those imputations by means of texts, and (d) presentation of the outcome as narrative.

I do not claim that every history and every historian in the West exhibits all these characteristics all of the time; some well-established branches of history fail to conform to one or another of these principles. I claim only that they are salient traits of most Western historical practice, that, on the average, they set historians off from other students of human affairs, and that historians whose work does not fit these standards have more trouble making other historians understand what they are about. Let us examine each of these characteristics in turn.

1. Time and Place as Fundamental Variables

Although they rarely make the assertion explicit, most historians assume that where and when a social process—the formation of a friendship, the outbreak of revolution, the disintegration of a community, or something else—occurs significantly affects how it occurs. All important social processes, in this view, are path-dependent; what happened last year significantly constrains what can happen this year and what will happen next year. Thus Italian industrialization followed a different path from British industrialization in part precisely because Britain started industrializing earlier; Britain both provided a model and shaped the world market for Italy's industrial products. Within Italy, furthermore, the extensive prior development of small-scale industry in the hinterlands of such commercial cities as Milan significantly affected the opportunities for 19th- and 20th-century industrial concentration.

A fortiori, according to standard historical reasoning, urbanization, militarization, and commercialization are not the same processes when they occur within feudal and capitalist regions or

periods. Two methodological injunctions follow: First, never interpret an action until you have placed it in its time and place setting; and second, use the greatest caution in making generalizations and comparisons over disparate blocks of time and place.

2. Time-Place Specialization

a single block of time. And historical fields defined by phenomena no more than a small minority of practicing historians rather than by time and place provide the primary identifications of researchers commonly specialize in a single area of the world during coinage, or kinship. In those fields, discussions often move quickly from one time-space division to another. But even there, individual with specialized phenomena, such as science, population change, a century or so taxes human stamina, memory, and ingenuity. A few social context for the competent study of one or two countries over arguing that learning the languages, sources, historiography, and historical fields, it is true, shrug off time and space limits to deal Most historians content themselves with a much smaller range, southern and western Europe during the 16th to 18th centuries. six centuries, ultimately concentrated his research and writing on European history very broadly and roamed easily over five or tions of place and time. Even Fernand Braudel (1979), who defined sional historians nearly always specialize in one or two combina-With spectacular exceptions, such as William McNeill, profes-

3. Questions Rooted in National Politics

Even if they point to more exceptions than I have allowed, few historians will dispute my first two statements as broad generalizations about historical practice. Many more will challenge the third, on the grounds that historians pursue their own questions, that much of history does not concern sharply defined questions but efforts to recapture certain situations, mentalities, events, or actions, and that many kinds of history have little or nothing to do with national politics. Nevertheless, I claim that within each major time-place block of historical research, specialists (a) implicitly recognize a few questions as crucial; (b) reward each other for putting new questions on the agenda, for proposing persuasive new answers to

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study or the nation to which they belong, or both. the standard questions; and (c) draw their dominant questions from problems on the national political agenda either of the nation under established questions, and for challenging established answers to

of new work to the extent that it addresses these questions. questions on the agenda. Historians, finally, recognize the relevance to them, proposing new answers to them, or (best of all) putting new questions. Historians gain recognition by challenging old answers ing, research, and writing center on more concrete versions of these questions constitute the general agenda of American history. Teachmodel as it rose to world power. These and perhaps a dozen more whether the United States became an exploiter on the European portunity and the possibilities for a militant labor movement, and whether mass immigration changed the structure of economic opblacks entirely different from that of their fellow Americans, zation, whether slavery and its aftermath made the experience of inevitable struggle between two different forms of American civiliinvolved a social revolution, whether the Civil War marked the life, whether the American war for independence from Great Britain the North American colonies and subsequently guided American whether a distinctive mentality and social structure was formed in Historians of the United States, for example, ask recurrently

interpreting that country's history in terms that their own compaanother nation thus become ambivalent, sometimes responding to national ills. Historians of one nationality who study the history of analogies to contemporary problems, and suggesting solutions to agenda of national politics, shaping debate, identifying relevant triots will understand the agenda set by the object country's nationals and sometimes when and where, under what conditions. Yet they all remain on the refinement, subdivision, and translation into terms of more or less, broad and vague for precise answers; they require explication, As I have summarized them, to be sure, the questions are all too

4. Amateurs and Professionals

time teaching, writing, or doing research on history—a number of history or their equivalents but also spend the major part of their sional historians—people who not only have doctoral degrees in Although the Western world contains 40,000 or 50,000 profes-

> They do not assume that only the anointed can do valid history. fessionals use the best of those nonprofessional works for reference for specialized historical periodicals. Without much hesitation, prorial that will appear in lectures, films, pamphlets, books, and articles books, newspaper files, private papers, and local archives for matemake their livings in other ways but spend time digging in old who write memoirs or reflections, while others are people who occasionally undertake historical writing, some are public figures search. Some are novelists, essayists, and other kinds of writers who nonprofessionals make significant contributions to historical re-

audiences often do not meet professional standards, and many cially sharp distinction between the contributions of professionals command greater respect among historians than do articles in local nationally esteemed journals and by well-known presses clearly and nonprofessionals. Let me not exaggerate: Publications in interwith most other academic fields, historians do not make an espetive interpenetration of professional and amateur efforts. history stands out from other social science disciplines in the rela professionals feel envious ambivalence when nonprofessionals, historical journals. The historical works that attract the largest lay writing that appeals to the general reading public. As compared however expert, write widely selling historical works. Nevertheless, Professionals and nonprofessionals alike value good historical

5. Documentary Evidence

ability to sit still and stay awake while going through mounds of tice), one of the active researcher's primary qualifications is the dence. Indeed, in many kinds of history (certainly in those I pracdull, routine documents as tax rolls and administrative correspongreat concern for texts, but the historian's texts often include such craftsmanship. Historians share with linguists and literary critics a the trade recognize the skillful deployment of documents as good makes up a significant part of the trade's secrets, and members of stock in trade, the ability to locate and read relevant documents tween those limits, written documents constitute the historian's nonwritten artifacts start to matter seriously as evidence. But bebegin to supply important evidence. At the far reaches of history, ical evidence. For very recent history, interviews, films, and tapes Written material provides the vast majority of recognized histor-

papers having little intrinsic interest, and either accumulating bits and pieces of information that will eventually fit into a larger design or searching for the one text that will make a big difference.

6. Actors, Motives, and Narratives

and provide only sketchy indications of motives. motivated narratives from documents that do not contain narratives narratives. Most historical writing, furthermore, consists of creating course. But on the whole, they do not recognize the enterprise as capital formation. Historians sometimes do these other things, of history unless it eventually yields, or at least informs, motivated unfolding of complex processes, such as proletarianization and among many actors and show how they changed, or follow the of texts that presumably reflect those attitudes and motives. The history. One could, for instance, trace simultaneous connections narrative mode is by no means the only possible way to present justify the imputation of attitudes and motives to actors by means narratives-coherent sequences of motivated actions. Historians ers—and that they can therefore reasonably arrange those actions in motivated actors-individuals, families, classes, nations, or othas objects of external forces or as motivated actors. By and large, Western historians assume that they are describing the actions of Any student of human behavior balances between treating people

and typically appears after years and years of teaching and recountries, the second dissertation is supposed to be a major work scholar a candidate for major professorships, as in most Germanic contributions to knowledge in some particular field of history doctoral dissertations establish the initiate's ability make original search.) The dissertation sets the standard for the historical mono-(Where the full state-recognized doctoral dissertation makes the in the form of a master's thesis or its equivalent. Finally, one or two versies. Third, the student is initiated in the use of documents, often with particular attention to substantive and methodological contro-Next, closer examination of current historiography is conducted, spiced with occasional looks at exemplary or controversial works. synthetic survey of the histories of different areas and periods, Western countries falls into four phases. First comes a general teristics. Speaking very generally, a historical graduate education in The education of professional historians reflects these six charac-

graph: a focused problem, a well identified set of primary sources, exhaustive coverage of the existing literature and available sources, and a careful statement of the ways in which the research alters previous understanding of the problem. In general, professional historians feel that only mature scholars who have already crafted a monograph or two can (or should) bring off broader syntheses.

The six traits of Western history-writing mentioned earlier mark out a distinctive enterprise. Whether they are advantages or disadvantages depends on the task at hand. A discipline organized in this way is unlikely to discover principles that apply across large ranges of space and time, to make much headway analyzing processes that leave few written traces, or to have great success dealing with social changes that operate through the cumulation of diverse actions by millions of actors. But it is likely to do very well in helping literate people appreciate the problems of their counterparts in distant places and times. For many historians, that establishment of sympathetic understanding is the hallmark of well-crafted history. For some, indeed, it constitutes the only valid ground of historical knowledge.

History as an organized discipline shares a number of traits with folk history, the ways that ordinary people reconstruct the past. In the West, for the most part, people take history as a set of stories about individuals who act for well-defined motives with clear consequences. At a scale larger than the storyteller's own milieu, powerful and famous individuals occupy a large part of the story, just as their motives, actions, and consequences provide a major basis for moral and political reasoning; Stalin, Churchill, de Gaulle, and Roosevelt become emblems and explanations of a whole era. Folk history rarely concerns superhuman forces, complex social processes, or ordinary people—except as objects or distant causes of history, or at the point of contact between the teller's own life and certifiably great events or persons. History written by specialists gains popular appeal to the extent that it conforms to these standards.

Peculiarities of Social and Economic History

Within such a discipline, the sorts of social and economic history that have taken shape since World War II occupy a peculiar position.

capitalism? When and how do industrial economies stagnate? These conditions have sustained declines in fertility occurred? Have famsciences, and started to ask eccentric questions such as: Under what vated narratives, borrowed extensively from the adjacent social era? Did the major world religions shape distinctively different stage of world history? What caused the great revolutions of our tempts to answer the grand old questions. to integrate the analyses of social and economic history into at deviations generated plenty of excitement but made it more difficult ily forms and sentiments changed fundamentally in the era of the standard playbill, turned away from the construction of motipractitioners soon began to identify actors who did not appear in ways of political, economic, and social life? On the other hand, their To what extent did the growth of large-scale industry mark off a new big questions: What accounts for the rise and fall of ancient empires? On one hand, they became auxiliaries to the pursuit of the standard

histories of Europe. integrating conclusions from European social history into general controversies have drawn even more attention to the difficulties of standard path to capital-concentrated production, and so on. The tended family is a myth, whether cottage industry marked a (or the) changes in the organization of production, whether the old exblinded them to politics, whether classes form in direct response to whether the typical concerns of European social historians actually versies also broke out on the terrain of European social history: peasantry. Consequently, some of the discipline's sharper controbeen considered a vast, immobile, and undifferentiated European the identification of mobility, complexity, and variety in what had tionary crowds, the charting of historical variants in family life, and cerning population change, the discovery of human faces in revoluoccurred in European social history: the revision of our ideas conthat I know best. Some of postwar history's greatest achievements Consider the case of European social history, the historical field

On the whole, European social history, as practiced in Western countries since 1945, has centered on one enterprise: reconstructing ordinary people's experience of large structural changes. In general, that has meant tracing the impact of capitalism (however defined) and changes in the character of national states on day-to-day behavior. Studies of migration, urbanization, family life, standards of living, social movements, and most other old reliables of European

social history fit the description. Disputes within the field, by and large, concern (a) the means of detecting ordinary people's experience and of describing large structural changes, (b) the actual assessment of that experience, and (c) the identity, character, and causal priority of the relevant structural changes. Social historians contend rather little about whether they ought to be linking big changes and small-scale experiences.

Much of recent European social history emits a populist tone. Its writers rail against histories of kings and generals, insist on the intrinsic value of knowing how relatively powerless people lived in the past, claim that synthetic histories commonly misconstrue the character of the masses, and argue for a significant cumulative effect of ordinary people's action on national events, such as revolutions and onsets of economic growth. "History from below" is the cry.

Populism complements the central method of social history: collective biography. The painstaking accumulation of uniformly described individual events or lives into collective portraits, as in political prosopography, family reconstitution, and analyses of social mobility, takes its justification from the belief that the aggregates so constructed will provide a more telling portrayal of popular experience than would the recapitulation of general impressions, observers' commentaries, or convenient examples. It also establishes much of the common ground between social history and sociology, political science, and economics. In those disciplines, researchers likewise often build up evidence about aggregates from uniform observations of many individual units.

Within the area occupied by collective biography, social historians are most likely to adopt formal methods of measurement and analysis: fragmentation of individual characteristics into variables, quantification of those variables, formal modeling of the processes and structures under study, and rigorous comparison of observations with the models, frequently by means of statistical procedures. Where observations are uniform, instances numerous, models complex but explicit, and characteristics of the instances meaningfully quantifiable, formal methods permit social historians to wring more reliable information from their evidence than they could possibly manage by informal means.

There, however, acute controversy begins. First, despite the readily available example of survey research, historians have not been nearly as assiduous and successful at measuring attitudes,

orientations, and mentalities as they have at quantifying births, deaths, and marriages. A major object of study and a major mode of explanation in history therefore remain relatively inaccessible to formalization. Second, historians tend to ground their pressing questions in times and places whereas social scientists tend to root them in structures and processes; to the extent that social historians adopt social scientific approaches to their material, they separate themselves from the questions that animate other historical work. Which set of questions should take priority? Third, the models and arguments that social historians borrow from adjacent social sciences often fit their historical applications badly—assuming independence of observations, being indifferent to the order in which events occur, calling for the recurrence of identical sequences, and so on.

Alas, historians could not deal with these disparities between social history and other histories by shrugging them off as simply another way of learning about human action. For the social scientific approaches, if valid, challenged the very means by which conventional historians moved from elites to masses, from leaders to followers, from kings to their kingdoms, by treating the larger body as a more or less unitary actor or set of actors and imputing to the actor(s) coherent motives, attitudes, or mentalities. If collective effects occur chiefly not through the aggregation of individual mentalities but through the compounding of social relations and resources—which is the premise of most social scientific work—then historians who want to move validly beyond this level of the single individual have no choice but to analyze that compounding. If they do so, they are undertaking a version of social science.

Lest anyone take me for a social science imperialist, let me state clearly that my hope for the social sciences is that they will all become more historical and that sociology, in particular, will dissolve into history. But that is not the issue here. We are examining the choices that confront present-day Western historians as they now practice their craft.

Alternative Histories

The division between social scientific and other kinds of history reflects a much broader division within Western historical thinking.

The division ultimately depends on philosophical choices which we might define provisionally as a series of alternatives:

- History's dominant phenomena are (a) large social processes or (b) individual experiences.
- 2. Historical analysis centers on (a) systematic observation of human action or (b) interpretation of motives and meanings.
- History and the social sciences are (a) the same enterprise or (b) quite distinct.
- Historical writing should stress (a) explanation or (b) narrative.

Beneath these choices lie deep questions of ontology and epistemology: Is the social world orderly? To what degree and in what ways is it knowable? Does the capacity to reflect and react to reflection distinguish humans from all other animals and thereby render the assumptions and procedures of the natural sciences inapplicable to human history?

Rather than a strict dichotomy, to be sure, each of these pairs represents the poles of a continuum; the many historians who say "Let's look at the intersection between individual experiences and large social processes" or "Let's combine explanation with narrative" aim at the middle of those continua. Very few historians station themselves precisely at either pole of any continuum.

Nevertheless, the choice of a position within any continuum entails (however unconsciously) profound philosophical choices. In general, historians choose similar positions within each of the continua, and on the whole historians place themselves closer to the second choice in each continuum—closer to interpretation, individual experience, distinctness, and narrative—than do social scientists, psychologists, biologists, and other students of human behavior. Without too much violence to the complexity of historical practice, we might therefore combine the four continua into one, whose extremes bear the labels "social scientific" and "humanistic."

A second division comes immediately to mind. Historians vary enormously in the scales at which they typically work: from the individual person to the whole human race. Although logically independent, the continua small-scale/large-scale and social scientific/humanistic correlate weakly; to a certain degree, historians who choose the humanistic end of the one range also tend to choose the individual end of the other. Still, those relatively humanistic

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Figure 4.1. Two dimensional representation of variations in historical approaches.

historians who emphasize mentalities and culture—their number has increased in recent years—frequently work at the scale of the region, the nation, or even the continent. Many relatively social scientific historians, moreover, work by aggregating individual observations into distributions and then adopt quite individualistic explanations of the distributions they find. Thus a rough two-dimensional representation of variations in historical approaches looks like Figure 4.1.

The distinctions make a difference. Gertrude Himmelfarb, historian of England and astringent critic of what she calls the "new history," draws her sharpest line between the diagram's lower left-hand corner and all the rest:

Thus the new history tends to be analytic rather than narrative, thematic rather than chronological. It relies more upon statistical tables, oral interviews, sociological models, and psychoanalytic theories than upon constitutions, treaties, parliamentary debates, or party manifestoes. Where the old history concerned itself with regimes and administrations, legislation and politics, diplomacy and foreign policy, wars and revolutions, the new focuses on social groups and social problems, factories and farms, cities and villages, work and play, family and sex,

birth and death, childhood and old age, crime and insanity. Where the old features kings, presidents, politicians, leaders, political theorists, the new takes as its subjects the "anonymous masses." The old is "history from above," "elitist history," as is now said, the new is "history from below," "populist history." (Himmelfarb, 1987, p. 14)

Himmelfarb argues that these new histories have no capacity to deal with politics and suggests that without politics history has no coherent frame. By *politics*, she appears to mean national politics, the politics of states rather than of such groupings as local communities, lineages, or ethnic blocs. "After several decades of the new history," she continues,

we can better appreciate what we are in danger of losing if we abandon the old. We will lose not only the unifying theme that has given coherence to history, not only the notable events, individuals, and institutions that have constituted our historical memory and our heritage, not only the narrative that has made history readable and memorable—not only, in short, a meaningful past—but also a conception of man as a rational, political animal. And that loss will be even more difficult to sustain, for it involves a radical redefinition of human nature. (p. 25)

She regrets, it seems, the loss of the liaison between professional history and folk history.

Furthermore, in the lower left-hand corner of the diagram, Himmelfarb is not delighted with all the company she finds. Some historians who work humanistically at the small scale—as we shall see—show a disinterest in national politics and have a weakness for the interpretation of ordinary people's experiences. Himmelfarb wants politics, especially national and international politics, to retain its priority throughout history. As for the other corners, she regards the histories of mentalities, of large-scale processes, and of individual-to-individual variation, to the extent that they become the dominant historical concerns, as threats to the very enterprise of history.

A few years earlier, E.J. Hobsbawm (1980) replied to a similar indictment, less shrilly stated, from Lawrence Stone, a pioneer of the "new history" who had become disillusioned with what he regarded as its excesses (not fast enough, however, to escape the wrath

of Himmelfarb, who holds Stone's work up as a salient example of history's decay). "In short," declared Hobsbawn,

those historians who continue to believe in the possibility of generalizing about human societies and their development, continue to be interested in "the big why questions", though they may sometimes focus on different ones from those on which they concentrated twenty or thirty years ago. There is really no evidence that such historians ... have abandoned "the attempt to produce a coherent ... explanation of change in the past." (p. 4; internal quotations from Stone, 1979).

The contrasting positions make it clear what is at issue: not only taste and political preference (although both have their weight) but the very explanatory schemes and central questions of historical research.

In case it is not already obvious, perhaps I should declare frankly that my own preferences and most of my own work lie on the right-hand side of the diagram. But I greatly enjoy, and profit from, the best contributions on the diagram's left-hand side; the following discussion, whatever else it does, should prove that. The point of this essay, in any case, is not to argue for the superiority of one kind of history or another but to identify alternative forms of historical practice, discuss their requirements, assumptions and consequences, and clarify the choices that Western historians are actually making.

Around the Four Corners

Let us explore the two-dimensional variation by reviewing some exemplary historical works—books that almost all historians will agree are excellent but that take very different approaches to their subjects. To see historical craftsmanship at work, let us concentrate on monographs rather than syntheses. To increase comparability and keep me on relatively certain ground, let us examine four outstanding works in western European and North American history: books by Carlo Ginzburg, E. P. Thompson, E. A. Wrigley and R. S. Schofield, and finally Olivier Zunz. The four do not constitute

a representative sample of recent historical work—what four could? But they do provide relatively pure examples of monographs in each of the diagram's four corners, and thus mark out the space within which most historical work goes on.

Ginzburg's Sixteenth-Century Miller

Carlo Ginzburg's (1980) The Cheese and the Worms places itself firmly in the lower left-hand corner of our diagram: small-scale and humanistic, seeking to interpret an individual's experience. In 1584 and again in 1599, the Roman Inquisition tried and convicted Domenico Scandella, a miller from Montereale in northeastern Italy, for heresy; the first time he went to jail, the second time to the stake for burning. From the trial records, a few other local sources, and an enormous knowledge of 16th-century Italian popular culture, Ginzburg constructs a credible account of both an extraordinary person and of the cultural world in which he lived.

Scandella did not join some existing heretical sect but fashioned his own cosmogony from experience, inclination, and fragments of oral and written tradition. He believed, for example, that the world, including God, had emerged from a primitive chaos; the image of worms generating spontaneously in cheese—whence the book's title—often served him as a metaphor for that original creation. He denied many Catholic orthodoxies in favor of his own view that Christ was human and the Catholic Church a tool of greedy priests and monks. He not only believed these things but told many other people about them. The church's hierarchy could not forgive Scandella for teaching others such heresies, even after he had gone to prison for them.

Ginzburg places the text of Scandella's interrogation at the center of his book, but uses it as a prism. He looks through the prism at different angles, seeking to ask how a 16th-century village miller could have arrived at his astonishing worldview. In the search for sources of Scandella's beliefs, Ginzburg undertook a close reading of all the books with which the miller's testimony reveals him to have been familiar. The same method took Ginzburg to books and booklets that Scandella probably did not know to see whether his heterodox ideas were circulating more widely in the Italy of his time. "Naturally," says Ginzburg in one instance,

there's no reason to suppose that [Scandella] was familiar with the Ragioni del perdonare. In sixteenth-century Italy, however, in the most heterogeneous circles a tendency existed ... to reduce religion to nothing more than worldly reality—to a moral or political bond. This tendency found different modes of expression, based on very different premises. However, even in this instance, it may be possible to discern a partial convergence between the most progressive circles among the educated classes and popular groups with radical leanings. (p. 41)

Then, like a detective tracking his suspect, Ginzburg begins searching for the traces of an oral tradition on which Scandella might have drawn. First he shows that the heretic systematically recast the texts he mentioned as his sources in favor of consistent ideas about the nature of God and man. Then Ginzburg assembles fragments of evidence, including the existence of another heretical miller, for the activity of a loosely connected network through which independent, rural people might have circulated the radical egalitarian ideas for which Scandella died.

That search leads Ginzburg to his more general argument. Little by little, he raises doubts that the rural heresies radiated downward from elite thinkers, such as Martin Luther, and tenders, ever so delicately, the counterhypothesis that both peasant heresies and literary heterodoxies drew on a widely circulated, constantly evolving, popular oral tradition. "It is this tradition, deeply rooted in the European countryside," Ginzburg writes at one point, "that explains the tenacious persistence of a peasant religion intolerant of dogma and ritual, tied to the cycles of nature, and fundamentally pre-Christian" (p. 112).

Ginzburg proves neither the existence of such a coherent tradition nor the derivation of Scandella's extraordinary beliefs from it; although Ginzburg's scholarly notes establishes his wide awareness of parallels and connections, his method excludes the possibility of proof in any strong sense of the word. But his patient, subtle glossing of the texts concerning the village miller eventually expands a reader's awareness of popular creativity and of intellectual traditions that moved in partial independence of elite culture.

More conventional biographies belong in the same small-scale interpretive category as Ginzburg's essay; some of them (for example, Harvey Goldberg's [1962] still-stunning appreciation of Jean Jaurès) likewise help us understand their subject and the subject's

milieu simultaneously. Richard Cobb's (1986) incomparable blends of reminiscence, biography, and pointilliste history belong in the class as well. Alain Lottin's (1968) portrait of 17th-century Lille through the journal of an artisan offers an example of a less daring, but very rich, approach to the subject. At a slightly larger scale, Emmanuel Le Roy Ladurie's (1975) reconstruction of the life of a 14th-century Pyrenean village from another set of Inquisition proceedings, Franco Ramella's (1984) treatment of the struggles of Biella's 19th-century wool workers, and Dirk Hoerder's (1977) portrayal of popular involvement in Massachusetts' portion of the American Revolution all display the power of small-scale interpretive studies to recapture the actual terms in which ordinary people experienced great issues and events.

Thinking small, then, does not necessarily mean thinking unambitiously. As Lucette Valensi and Nathan Wachtel (1976) said of Le Roy Ladurie's *Montaillou*:

Individual destinies are situated where they intersect with each other: the *domus*, the region, the intellectual universe—the environment, the "mental equipment" of the time: but while Lucien Febvre did portraits of illustrious persons, Le Roy Ladurie reconstructs obscure lives and plunges us into the everyday life of the past. The attempt to totalize history encounters history's traditional calling, the study of those things that only happened once: the particular touches the general, reappearing in all its inexhaustible richness. (p. 8)

The general, in this view, is ineffably complex; interpretation of life at the small scale provides the principal path to historical knowledge.

Thompson's English Working Class

Those who work in the upper left-hand corner—the large-scale and humanistic corner—of our diagram agree on the complexity of collective life but argue that a historian can nevertheless identify and interpret patterns concerning whole peoples. In that corner, E. P. Thompson's work has been a beacon to historians. Thompson's *The Making of the English Working Class*, published in 1963, immediately stimulated the greatest tribute to a historical work: a combination of delighted praise, angry criticism, and eager emulation. Soon

after the book's appearance, many bright young scholars of different lands had formed the ambition to write "The History of the _ Working Class" on the model of Thompson's classic.

No one who reads the book will have trouble understanding why. *The Making* combines scintillating history with vigorous polemic. It stalks two different preys: the capitalist interpretation of economic history and econo-mistic Marxism. "In this tradition," says Thompson of the latter,

the very simplified notion of the creation of the working class was that of a determined process: steam power plus the factory system equals the working class. Some kind of raw material, like peasants "flocking to factories," was then processed into so many yards of class-conscious proletarians. I was polemicizing against this notion in order to show the existing plebeian consciousness refracted by new experiences in social being, which experiences were handled in cultural ways by the people, thus giving rise to a transformed consciousness. (In Abelove et al., 1983, p. 7)

Assuming, rather than establishing, a common experience throughout England, Thompson traces transformations in class action and consciousness between 1790 and 1832. "This book," says Thompson (1963, p. 11), "can be seen as a biography of the English working class from its adolescence until its early manhood. In the years between 1780 and 1832 most English working people came to feel an identity of interests as between themselves, and as against their rulers and employers." Thompson insists on this sense of class not as a thing or a position but as a dynamic relationship to antagonists. The *making* of the English working class, in his account, consisted of bringing to full consciousness that dynamic relationship of workers to employers and rulers, with the accompanying realization that workers had the power to act against their exploiters.

Rather than a chronological narrative, Thompson's 800-page book contains 16 closely linked essays grouped into four sets: 18th-century traditions bearing on England's Jacobin movement of the 1790s; workers' experiences with industrialization; popular radicalism from the early 19th century to the 1830s; and class and politics in the 1820s and 1830s. Within individual chapters, however, Thompson blends narratives of particular struggles and movements with analyses of the ideas that informed them: Jacobinism,

working-class religious movements, Luddism, agricultural laborers' revolts, strikes, and demands for Parliamentary reform. He takes his account up through the mobilization that brought the Parliamentary Reform of 1832 without offering a sustained analysis of that mobilization—or of its aftermath, when workers who had joined with artisans, shopkeepers, and capitalists to demand broadened representation faced the fact that many of their allies had gained the franchise while they had not. At that moment, Thompson suggests the English working class came close to shared consciousness and revolutionary intent.

Thompson's pages overflow with stories, argumentative asides, and quotations from relevant texts—especially the texts. In the vein of literary history, Thompson made two great innovations. The first was to broaden the notion of texts from written books and pamphlets to include not only poems, songs, and broadsheets but orations, utterances, rallying cries, visual symbols, and ritual acts. He sees a few great texts—especially those of John Bunyan, Thomas Paine, William Cobbett, and Robert Owen—as fundamental sources and expressions of working-class ideas. But he regards the more fragmentary and less literary sources as crucial for establishing how workers actually articulated the great ideas and (like Ginzburg, 1980) holds open the possibility that the great authors actually crystallized well-established popular traditions.

Thompson's second innovation was in piecing together the whole range of texts as a literary historian might, grouping them into families identified by similar themes, matching working-class shouts and threatening letters with well-known essays, interpreting the fragments in terms of the master texts and the master texts in the light of the fragments. He uses this method, among other things, to determine which thinkers and activists came closest to the genuine temper of workers; thus he argues that the great organizer Francis Place, for all his effectiveness in creating associations and lobbying Parliament, represented working-class views far less well than Thomas Hodgskin or John Gast (Thompson, 1963, p. 521).

By this weaving together of diverse texts, Thompson arrives at an interpretation of changes in working-class consciousness over the course of successive struggles from the 1780s to the 1830s. The basic transformation in that period, declares Thompson,

tions-trade unions, friendly societies, educational and religious corresponding forms of political and industrial organisation. By 1832 as against the interests of other classes. And, second, in the growth of interests as between all these diverse groups of working people and growth of class-consciousness: the consciousness of an identity of is the formation of "the working class." This is revealed, first, in the class structure of feeling. (p. 194) movements, political organisations, periodicals—working-class intelthere were strongly-based and self-conscious working-class institulectual traditions, working-class community patterns, and a working-

and from Luddism to Chartism. omy, from scattered attacks on local enemies to mass movements, old moral economy to demands for power in the industrial econworkers from John Bunyan to Bronterre O'Brien, from defense of the The same transformation, in Thompson's account, took English

and consciousness. connected organization, and intimate links between organization a century. He preserves the unity of his subject and holds to an theme: the emergence of a widely shared consciousness, a strongly interpretive mode by taking all fragments as variations on the same English workers (not to mention their allies and enemies) over half much more deliberately for signs of change; his subject includes all texts. But Thompson operates on a much larger scale and looks to construct a worldview from the incomplete evidence supplied by E. P. Thompson's analysis shares with Carlo Ginzburg's the effort

shared popular orientations—whether called mentalities, culture, of popular culture in 16th-century France, and Eugene Genovese corner of our diagram. or something else -has animated work in the upper left-hand More than anything else, the idea of partly autonomous, widely (1974) inquired into the lives and beliefs of black American slaves. Zemon Davis (1975) used small events to illuminate large themes in the decades before the beginning of Thompson's book. Natalie different theoretical perspective) to examine British popular politics John Brewer (1976) used similar materials and methods (and a works of the past 30 years, he does not stand alone in his corner Although Thompson wrote one of the most influential historical

Wrigley and Schofield's English Population

of parish registers. parish registers and similar records; and estimates of national vital estimates of vital rates by means of genealogies compiled from tions using whatever sources were available; derivation of refined rates by aggregation of births, deaths, and marriages from a sample household composition and other characteristics of local populacentury. The Cambridge Group for the History of Population and regular national censuses, which began at the outset of the 19th and English research groups began the massive task of using those Social Structure took a threefold approach: extensive studies of sources systematically to reconstruct vital trends before the age of populations attached to those churches. In different ways, French estimates of changes in the fertility, mortality, and nuptiality of the Christian churches would, under some conditions, yield reliable certainly applies to E. A. Wrigley and R. S. Schofield's (1981) The the registers of baptisms, burials, and marriages long maintained by 1960s, both French and English demographers began to realize that Population History of England, 1541-1871: A Reconstruction. In the ner of our diagram, stressing social science and the large scale. That Demographic history locates chiefly in the upper right-hand cor-

excitement in its own way. For the Cambridge Group's research swarm with numbers, tables, and graphs. Yet the book generates transforms our understanding of population change in England nearly 800 pages go into methodological discussions. The pages as far from The Cheese and the Worms and The Making of the English concentrates on the third. Bulky and technical, its style stands about Working Class as one could imagine. More than half of the book's —and, by extension, in other parts of Europe—before 1800. The Population History of England draws on the first two but

registers from as early as continuous series existed, and then shipped the information to Cambridge in standard format for tisms, burials, and marriages from more than 400 sets of local teers throughout England who abstracted information about bapinnovations. Their organization included the recruitment of voluntion by means of wide-ranging organization and a series of technical Wrigley, Schofield, and their collaborators wrought their revolu-

they essentially subtracted the children born in a given 5-year estimates of annual numbers of births, deaths, and marriages. The until they had consistent demographic histories of the 5-year cointerval from the population in the previous interval, added the time. After making allowances for immigration and emigration, to best estimates of population sizes and age structures before that age structures of populations enumerated in 19th-century censuses and death series to move back, 5 years at a time, from the sizes and central technical innovation was "back projection," the use of birth computerization, tests for reliability, and aggregation into nationa rates back to 1541. population made it possible to compute birth, death, and marriage years of compilation, testing, and refinement, the estimates of total horts that entered the English population from 1541 onward. After the previous interval, then cycled through the series again and again persons who died in the same 5-year interval to the population in

The results are remarkable. They reveal 16th- and 17th-century populations (a) in which large numbers of people never married; (b) which never suffered the great waves of death once believed to be the inevitable consequences of periodic harvest failures under pre-industrial conditions; (c) which recovered very rapidly from the losses that were brought on by subsistence crises because marriage and marrial fertility rose rapidly; (d) in which illegitimate births and marriages rose and fell together instead of varying in opposite directions; and (e) which experienced a substantial rise in fertility (much more important than the conventionally expected decline in mortality) during the rapid population growth of the 18th century.

The resulting portrait of English population dynamics shows how much Malthus underestimated the effectiveness of the "preventive check" (abstinence from marriage and sex) in his own country and how much England escaped from "Malthusian" vulnerability to harvest fluctuations during the commercialization, proletarianization, and agricultural expansion of the 18th century. Explanations of these changes remain controversial (see Goldstone, 1986; Levine, 1984, 1987; Lindert, 1983; Weir, 1984a, 1984b; Wrigley, 1987). Still, the Cambridge Group's research has set what has to be explained on an entirely new plane.

As the nearly instantaneous response to the Wrigley and Schofield findings indicates, they made a difference far outside the zone of strictly demographic concerns. The total population figures supply

denominators for a whole series of crucial per capita measures, such as personal income and agricultural productivity, and thus affect both the periodizing and overall characterization of British economic growth. The rising nuptiality and fertility of the 18th-century call for a much more active account of people's involvement in rapid population growth than did the old notion of declining death rates and "population pressure." And the high rates of celibacy in earlier centuries—long suspected but now confirmed—help explain the large role of unmarried "servants" in English agriculture and manufacturing before the era of capital concentration.

Wrigley, Schofield, and the Cambridge Group carried out one of the largest enterprises in the upper right-hand corner of our diagram, but not the only one. Philip Curtin's (1984) studies of the slave trade and of long-distance exchange in general, Robert Fogel and Stanley Engerman's (1974) econometric analyses of production under slavery in the United States, Jan de Vries' (1984) portrayal of European urbanization, Peter Lindert and Jeffery Williamson's (1983) analyses of changes in income and labor force during English industrialization, and Michael Schwartz's (1976) examination of smallholders' politics in the United States all exemplify the use of social-scientific approaches to investigate history on the large scale.

Zunz's Detroit

Olivier Zunz's (1982) study of Detroit's changing social geography from 1880 to 1920 does not operate on the small scale—a single individual and his environment—of *The Cheese and the Worms*, but it does use evidence on individuals and households to build up a picture of alterations in the city as a whole. Over the 40-year period that Zunz studies, Detroit went from a city of small machine shops and mixed trades to the factory-dominated metropolis of the automobile industry. But it remained a fairly low-density city with much of its housing stock in buildings lodging one, two, or three families rather than dozens or hundreds. To trace alterations in the city's fine spatial structure, Zunz sampled the household-by-household manuscript records of the 1880, 1900, and 1920 United States censuses, using the block cluster (four sides of one block and the adjacent sides of two blocks across the street) as his sampling unit (see Figure 4.2).

How (and What) Are Historians Doing?

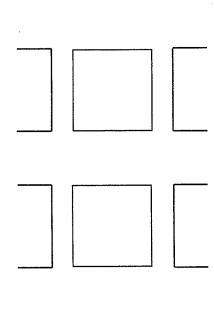


Figure 4.2. Diagram of block-cluster sampling unit

cluster. Thus he had extraordinarily fine evidence concerning who compiled evidence on land use and building type in each block block front.) As a complement to the large file on households, Zunz confirmed that plenty of social life did proceed at the scale of the with each other from day to day. (Research in Detroit's local records observations on the households who were likely to see and interact blocks between rectilinear streets). This meticulous effort gave him (It helped, of course, that most of Detroit was laid out in rectangular lived where, in whose company, and in what physical surroundings.

other find housing close at hand, by sharing dwellings, and by enterprises. They created ethnic neighborhoods by helping each the form of major segments of the city settled by Germans, Irish, clustering by national origin, but at this small scale rather than in many Poles and Russian Jews altered significantly who lived and establishing local stores that catered to their own countrymen. The grants clustered near their places of work, which were often ethnic Blacks, Yankees, or others. In that city of fragmented capital, miworked where. Detroit of 1900 displayed similar patterns, although the arrival of In the Detroit of 1880, Zunz discovered well-defined patterns of

mobile manufacturers, especially Henry Ford, had installed assemand become the city's dominant economic activity. By then, autothe previous two decades, the automobile industry had exploded The year 1920, however, marked a fundamental change. During

> migrants, black and white, came to Detroit from the American under relatively strict time-discipline. In the process, thousands of reorganization of production transformed Detroit's labor force, esbly lines in large factories employing hundreds of workers. The pecially by expanding the number of machine tenders working

defined workplaces; and developers' deliberate construction of segregation by class and race, with national origin operating chiefly come housing, Detroit became an exemplary case of large-scale of small-scale clustering by national origin, with pockets of high-inhousing for separate markets defined by class and income. Thus produce that result: the change in employment, which made it within the limits set by class divisions. Two factors converged to the concentration of capital promoted the concentration of social impossible for workers' households to cluster around ethnically The city's residential geography shifted accordingly. From a city

than I have. As Zunz summarizes his findings: Ever prudent, Zunz states the results of his study more cautiously

dences and large factories, replaced the nineteenth-century ethnic well adapted to the new urban and suburban subdivisions of resitions. It was not until 1920 that cohesive socio-ethnic neighborhoods, and women, parents and children went off to work in different direcneighborhoods. (p. 343) tory but not too distant from any, was an asset to family economy; men industries. The location of these communities, near no particular facimmigrant neighborhoods found themselves at the focal point of neighborhoods. The factories progressively encircled the city, and immigrant working classes access to jobs without disrupting their In nineteenth century Detroit . . . industrial geography allowed the

social mobility, and the quality of life changed drastically. Zunz's result, daily routines, everyday social relations, opportunities for second expanded, and ethnic firms survived only in enclaves. As a of industrial employment. Eventually, the first set shriveled as the ground and the other set taking them into the bureaucratized world given national origin into firms run by people from the same backopportunity structure," one set of channels feeding people of a For some decades, then, Detroit hosted what Zunz calls a "dual

study shows us the making of a class-based world built around jobs in big industry.

many critics have clarified the grounds for justifying or denying the alence of uniformly observed events. So far, neither they nor their the problem of subjective comparability by assuming partial equivofficeholders, political conflicts, or organizations likewise sidestep ity, or work experience. Historians who do collective biographies of actly what meaning their subjects attached to class position, mobilpossibility of systematic observation without agonizing over exurban historians (e.g., Katz, 1975, Thernstrom, 1964) assumed the point of a systematic observer. A whole generation of American and at different points in time—comparable, that is, from the viewhim to make comparable observations in different parts of the city virtue is that they are arbitrary but uniform, and therefore allows Zunz focuses his effort on arbitrarily defined block clusters, whose the conventional individuals and households appear in his analysis, of social history, collective biography, in unfamiliar garb. Although validity of their assumptions Zunz's Changing Face of Inequality presents the standard technique

Comparisons and Conclusions

The monographs by Ginzburg, Thompson, Wrigley and Schofield, and Zunz fall far short of representing the full variety of Western historical work. Nevertheless, they provide relatively sharp examples of four distinctly different genres of historical research. Since none of the authors stays strictly in the corner assigned to him, we might represent the location as shown in Figure 4.3.

Ginzburg aims primarily at the smallest scale possible—a single individual—but moves repeatedly up the scale to offer interpretations of 16th- century mentalities in general. Wrigley and Schofield, in sharp contrast, reach down to the level of parishes for important parts of their evidence but concentrate the bulk of their effort on a national population; they do so, furthermore, in impeccably social scientific terms. Zunz alternates between the levels of his block clusters and the city as a whole but occasionally deals with individuals, and in his more synthetic moments associates changes in Detroit with transformations of the whole American economy. He, like Wrigley and Schofield, conceives of his task as the systematic

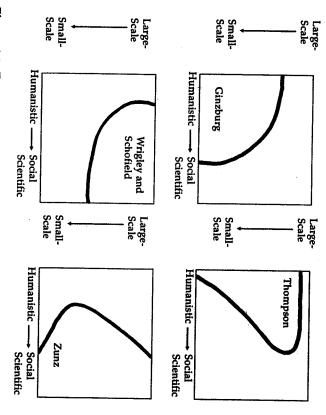


Figure 4.3. Four genres of historical research located on continua of scale and humanistic/social-scientific orientation.

explanation of variation, although his variation occurs in both time and space. Thompson frequently turns to observations of individuals and small groups on his way to building an interpretation of changing outlooks at a national scale.

Other historians occupy more of a middle ground. Rudolf Braun (1960) combines simple demographic descriptions with a close reading of sermons and other direct testimonies as he reconstructs change in the hinterland of Zurich during the rise and fall of cottage industry. Keith Wrightson and David Levine (1981) take one Essex village as their object, using detailed evidence of long-term demographic change as a base for identifying transformations of social structure, but turn quickly to information bearing on the texture of local life. Tamara Hareven (1982) confronts interviews of former mill workers with materials drawn from censuses and similar sources on the way to recapturing experience in a New England textile town. Herbert Gutman (1976) blends a poetic sense of 19th-century black

analysis of variation. the practical possibility of combining interpretation and systematic Peter Schneider (1976), Rebecca Scott (1985), Laurence Stone (1977), experience with robust numbers describing shifts in black house-William Taylor (1979), and Katherine Verdery (1983) all demonstrate (1985), Jean-Claude Perrot (1975), Michelle Perrot (1974), Jane and Roy Ladurie (1966, 1975), Catharina Lis (1986), Ewa Morawska hold composition. The remarkable contributions of Emmanuel Le

periphery of historical practice. feasible. Then interpretation will recede from the center to the close ontological barriers to believing large-scale social-scientific history Suppose, on the other hand, we surmount the epistemological and scientific history at the large scale will seem foolish and distasteful cept in full the premises of interpretive history at the small scale matter) the center of historical practice. Then the claims of social thereby making Ginzburg's modus operandi (if not his subject Nevertheless, the choices are real and pressing. Suppose we ac

have, all too simply, labeled "humanistic" and "social-scientific." ginning to end. The hard choices separate the endeavors which I E. P. Thompson's histories display that complementarity from bea concern with mentalities can complement each other very nicely: problem. Despite Gertrude Himmelfarb's fears, a political focus and vidual to the group to the nation without losing grip on a historica demonstrate, a skilled historian can move gracefully from the indichoice of historical philosophies. As the authors we have reviewed All in all, the choice of scales appears to be less daunting than the

sibility of social reality. The philosophical problems will not wait or practical experience to questions about the character and accesdo all kinds of history, and see which of them yield the best results. But the debate about which is "best" ultimately goes beyond taste It is tempting to take a flatly pragmatic view of the choices: Let's

ficulty will arrive under one of four conditions: ifications" of Thompsonian interpretations. A resolution to the dif-Ginzburgian glosses of Zunzian findings and Wrigfield-style "verleave aside uneasy compromises: a gesture to each side, including tific approaches to history possible in principle? Yes, it is. Let us between two poles? Is any synthesis of humanistic and social scien-Are historians, like migrant birds, condemned forever to oscillate

> 1. A discovery that reliable knowledge of human action is impossible, in which case both enterprises collapse

Proof that individual experiences are coherent and intelligible but large social processes are not, which condemns social science

Contrary proof that subjectivity is never reliably accessible but recurrent patterns of human action are, which scuttles humanistic history

Successful aggregation of reliably known individual experiences into collective action and durable social relations-which, if accomplished, will transform all the social sciences, as well as history.

I never said the task was modest—or easy.

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